

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 19603/3461 (CRF D-2659A)	SERIAL NO. 09/927,966
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Gary E. Harman	
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	NUMBER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
m	1	6,251,390	6/26/01	Harman et al.			
	2	6,020,540	2/01/00	Harman et al.			
	3	5,474,926	12/12/95	Harman et al.			
	4	5,433,947	7/18/95	Harman et al.			
	5	5,326,561	7/5/94	Harman et al.			
	6	4,996,157	2/26/91	Smith et al.			
	7	5,165,928	11/24/92	Smith et al.			

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION IF APPROPRIATE
m	8	WO 98/32844	7/30/98	WIPO			

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m	9	Harman, G. E., "Myths and Dogmas of Biocontrol. Changes in Perceptions Based on Research with <i>Trichoderma harzianum</i> T-22," <i>Plant Dis.</i> 84: 377-393 (2000)
m	10	Harman et al., "Factors Affecting <i>Trichoderma hamatum</i> Applied to Seeds As a Biocontrol-Agent," <i>Phytopathology</i> 71: 569-572 (1981)
m	11	Taylor et al., "Concepts and Technologies of Selected Seed Treatments," <i>Ann. Rev. Phytopathol.</i> 28: 321-339 (1990)
m	12	Lo et al., "Biological Control of Turfgrass Diseases With a Rhizosphere Competent Strain of <i>Trichoderma harzianum</i> ," <i>Plant Dis.</i> 80:736-741(1996)
m	13	Lo et al., "Improved Biocontrol Efficacy of <i>Trichoderma harzianum</i> 1295-22 For Foliar Phases of Turf Diseases By Use of Spray Applications," <i>Plant Dis.</i> 81:1132-1138 (1997)

EXAMINER	Lene Harman	DATE CONSIDERED
		3/9/04

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Sheet 2 of 8

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2	14	Harman et al., "Potential and Existing Uses of <i>Trichoderma</i> and <i>Gliocladium</i> For Plant Disease Control and Plant Growth Enhancement," in: <i>Trichoderma and Gliocladium</i> , Harman, G. E. and Kubicek, C. P. (eds.), London: Taylor and Francis, Vol. 2:229-265 (1998)
2	15	Harman, G. E., "Development and Benefits of Rhizosphere Competent Fungi for Biological Control of Plant Pathogens," <i>L. Plant Nutrition</i> 15:835-843 (1992)
	16	Brannen et al., "Kodiak: A Successful Biological-Control Product for Suppression of Soil-Borne Plant Pathogens of Cotton," <i>J. Industr. Microbiol. Biotechnol.</i> 19:169-171 (1997)
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	18	Harman et al., "Combining Effective Strains of <i>Trichoderma harzianum</i> and Solid Matrix Priming to Improve Biological Seed Treatments," <i>Plant Dis.</i> 73:631-637 (1989)
2	19	Kloepper et al., "A Review of Issues Related to Measuring Colonization of Plant Roots by Bacteria," <i>Can J. Microbiol.</i> 38: 1219-1232 (1992)
	20	Raupach et al., "Mixtures of Plant Growth-Promoting Rhizobacteria Enhance Biological Control of Multiple Cucumber Pathogens," <i>Phytopathology</i> 88:1158-1164 (1998)
	21	Burr et al., "Increased Potato Yields by Treatment of Seedpieces with Specific Strains of <i>Pseudomonas fluorescens</i> and <i>P. putida</i> ," <i>Phytopathology</i> 68:1377-1383 (1978)
	22	Wei et al., "Induction of Systemic Resistance of Cucumber to <i>Colletotrichum orbiculare</i> by Select Strains of Plant Growth-Promoting Rhizobacteria," <i>Phytopathology</i> 81:1508-1512 (1991)

EXAMINER <i>Gene Main</i>	DATE CONSIDERED <i>3/9/04</i>
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<i>m</i>	23	Weller, D.M., "Biological Control of Soilborne Plant Pathogens in the Rhizosphere with Bacteria," <i>Ann. Rev. Phytopathol.</i> , 26:379-407 (1988)
<i>m</i>	24	Taylor et al, "Liquid Coating Formulation for the Application of Biological Seed Treatments of <i>Trichoderma harzianum</i> ," <i>Biol. Control</i> 1:16-22 (1991)
<i>m</i>	25	Chang et al., "Increased Growth of Plants in the Presence of the Biological Control Agent <i>Trichoderma harzianum</i> ," <i>Plant Dis.</i> 70:145-148 (1986)
<i>m</i>	26	Windham et al., "A Mechanism For Increased Plant Growth Induced By <i>Trichoderma</i> spp.," <i>Phytopath.</i> 76:518-521 (1986)
<i>m</i>	27	Yedidia et al., "Induction of Defense Responses in Cucumber Plants (<i>Cucumis sativus L.</i>) by the Biocontrol Agent <i>Trichoderma harzianum</i> ," <i>Appl. Environ. Microbiol.</i> 65:1061-1070 (1999)
<i>m</i>	28	Deacon, J. W., "Rhizosphere Constraints Affecting Biocontrol Organisms Applied to Seeds," in: <i>BCPC Monograph 57: Seed Treatment: Progress and Prospects.</i> , pp. 315-326, T. Martin, ed. British Crop Protection Council, Farnham, UK. (1994)
<i>m</i>	29	da Luz et al., "Seed-Applied Bioprotectants For Control of Seedborne <i>Pyrenophora tritici-repentis</i> and Agronomic Enhancement of Wheat," <i>Can. J. Plant Pathol.</i> 19:384-386 (1998)
<i>m</i>	30	Datnoff et al, "Biological Control of <i>Fusarium</i> Crown and Root Rot of Tomato in Florida Using <i>Trichoderma harzianum</i> and <i>Gliomus intraradices</i> ," <i>Biol. Contr.</i> 5:427-431 (1995)
<i>m</i>	31	Nemec et al., "Efficacy of Biocontrol Agents in Planting Mixes to Colonize Plant Roots and Control Root Diseases of Vegetables and Citrus," <i>Crop Protect.</i> 15:735-742 (1996)

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Gene Marx

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FOREIGN PATENT DOCUMENTS

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<i>m</i>		32	De Freitas et al., "Growth Promotion of Winter Wheat by Fluorescent Pseudomonads Under Growth Chamber Conditions," <i>Soil Biology and Biochemistry</i> 24:1127-1135 (1992)
<i>m</i>		33	Dubey et al., "Influence of Fast and Slow Growing <i>Rhizobia</i> on Growth and Yield of Soybean (<i>Glycine max</i>)," <i>Indian Journal of Plant Physiology</i> 5:285-287 (2000)
<i>m</i>		34	Germida et al., "Plant Growth-Promoting Rhizobacteria After Rooting Patterns and Arbuscular Mycorrhizal Fungi Colonization of Field-Grown Spring Wheat," <i>Biology and Fertility of Soils</i> 23:113-120 (1996)
<i>m</i>		35	Harman et al., "Plant Growth Promotion by <i>Trichoderma harzianum</i> ," <i>Helsinki Trichoderma/Gliocladium Workshop Abstract</i> (June 1999) (abstract only)
<i>m</i>		36	Bioworks, Inc., "Properties of T-22™ PB," <i>Bioworks, Inc. T-22™ PB Tech Update Bulletin</i> (April 2000)
<i>m</i>		37	Altomare et al., "Solubilization of Phosphates and Micronutrients by the Plant-growth Promoting and Biocontrol Fungus <i>Trichoderma harzianum</i> Rifai 1295-22," <i>Appl. Env. Microbiol.</i> 65:2926-2933 (1999)
<i>m</i>		38	Bailey et al., "Direct Effects of <i>Trichoderma</i> and <i>Gliocladium</i> on Plant Growth and Resistance to Pathogens," in <i>Trichoderma and Gliocladium</i> 2:185-204, Harman and Kubicek, eds., Taylor and Francis, London (1998)
<i>m</i>		39	Baker et al., "Physical, Biological and Host Factors in Iron Competition in Soils," in <i>Iron, Siderophores and Plant Diseases</i> , pp. 77-84, T. R. Swinburne, ed. Plenum Press, New York (1986)
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<i>m</i>	40	Young et al., "PGPR: Is There a Relationship Between Plant Growth Regulators and the Stimulation of Plant Growth or Biological Activity?" in <u>Plant Growth-Promoting Rhizobacteria: Progress and Prospects</u> , Keel et al, eds. pgs. 182-186, Interlaken Switzerland (1991)
<i>m</i>	41	Di Pietro et al., "Endochitinase from <i>Gliocladium virens</i> : Isolation, Characterization, and Synergistic Antifungal Activity in Combination with Gliotoxin," <u>Phytopathology</u> 83:308-313 (1993)
<i>m</i>	42	Graham et al., "Micronutrients and Disease Resistance and Tolerance in Plants," in: <u>Micronutrients in Agriculture</u> , pp. 329-370, R. M. Welch, ed. Soil Sci. Soc. Am., Madison, WI (1991)
<i>m</i>	43	Harman et al., "Improved Seedling Performance by Integration of Biological Control Agents at Favorable pH Levels with Solid Matrix Priming," <u>Phytopathology</u> 78:520-525 (1988)
<i>m</i>	44	Harman et al., "Development of an Effective Biological Seed Treatment System," in: <u>Biological Control of Soil-borne Plant Pathogens</u> , pp. 415-426, D. Hornby, ed., CAB International, Oxon, UK (1990)
<i>m</i>	45	Schroth et al., "Disease-Suppressive Soil and Root-Colonizing Bacteria," <u>Science</u> , 216:1376-1381 (1982)
<i>m</i>	46	Heckman et al., "Corn Response to Sidedress Nitrogen in Relation to Soil Nitrate Analysis," <u>Commun. Soil Sci. Plant Anal.</u> , 27:575-583 (1996)
<i>m</i>	47	Lewis et al., "A New Approach to Stimulate Population Proliferation of <i>Trichoderma</i> Species and Other Potential Biocontrol Fungi Introduced Into Natural Soils," <u>Phytopathology</u> 74:1240-1244 (1984)

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M		48	Lo et al., "Ecological Studies of Transformed <i>Trichoderma harzianum</i> Strain 1295-22 in the Rhizosphere and on the Phylloplane of Creeping Bentgrass," <i>Phytopathology</i> 88:129-136 (1998)
		49	Lorito et al., "Synergistic Interaction Between Cell Wall Degrading Enzymes and Membrane Affecting Compounds," <i>Molec. Plant-Microbe Interact.</i> 9:206-213 (1996)
		50	Sivan et al., "Improved Rhizosphere Competence in a Protoplast Fusion Progeny of <i>Trichoderma harzianum</i> ," <i>J. Gen. Microbiol.</i> 137:23-29 (1991)
		51	Zeilinger et al., "Chitinase Gene Expression During Mycoparasitic Interaction of <i>Trichoderma harzianum</i> With its Host," <i>Fung. Genet. Biol.</i> 26:131-140 (1999)
		52	Kloepper et al., "Effects of Rhizosphere Colonization by Plant Growth-Promoting Rhizobacteria on Potato Plant Development and Yield," <i>Phytopathology</i> 70:1078-1082 (1980)
		53	Kloepper et al., "Plant Growth Promotion Mediated by Rhizosphere Bacterial Colonizers," in <i>The Rhizosphere and Plant Growth</i> , pp. 315-326, Keister et al. (eds), Kluwer Academic Publishers, The Netherlands (1991)
		54	Lifshitz et al., "Growth Promotion of Canola (rapeseed) Seedlings by a Strain of <i>Pseudomonas putida</i> Under Gnotobiotic Conditions," <i>Can. J. Microbiol.</i> 33:390-395 (1987)
✓		55	Liu et al., "Induction of Systemic Resistance in Cucumber Against Bacterial Angular Leaf Spot by Plant Growth-Promoting Rhizobacteria," <i>Phytopathology</i> 85:843-847 (1995)
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	56	5,601,490	10/29/1991	Paa et al.		

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<i>H</i>	57	WO 94/01546	01/20/94	WIPO			
<i>J</i>	58	WO 94/26782	11/24/94	WIPO			
<i>J</i>	59	WO 99/07207	02/18/99	WIPO			
<i>J</i>	60	WO 99/07206	02/18/99	WIPO			
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<i>H</i>	62	Loper et al., "Influence of Bacterial Sources of Indole-3-Acetic Acid on Root Elongation of Sugar Beet," <i>Phytopathology</i> 76:386-389 (1986)
	63	Anderson et al., "Responses of Bean to Root Colonization with <i>Pseudomonas putida</i> in a Hydroponic System," <i>Phytopathology</i> 75(9):992-95 (1985)
	64	Gardner et al., "Growth Promotion and Inhibition by Antibiotic-Producing Fluorescent Pseudomonads on Citrus Roots," <i>Plant and Soil</i> 77:103-13 (1984)
	65	Kloepper, J.W., "Effect of Seed Piece Inoculation with Plant Growth-Promoting Rhizobacteria on Populations of <i>Erwinia carotovora</i> on Potato Roots and in Daughter Tubers," <i>Phytopathology</i> 73(2):217-19 (1983)
	66	Kloepper et al., "Plant Growth-Promoting Rhizobacteria on Canola (Rapeseed)," <i>Plant Disease</i> 72(1):42-6 (1988)
	67	Kloepper et al., "Enhanced Plant Growth by Siderophores Produced by Plant Growth-Promoting Rhizobacteria," <i>Nature</i> 286:885-86 (1980)
	68	Kloepper et al., "Emergence-Promoting Rhizobacteria: Description and Implications for Agriculture," In: <i>Iron, Siderophores, and Plant Disease</i> , Swinburne (ed), Plenum, NY, 155-64 (1986)
	69	Kloepper et al., "Relationship of <i>In vitro</i> Antibiosis of Plant Growth-Promoting Rhizobacteria to Plant Growth and the Displacement of Root Microflora," <i>Phytopathology</i> 71(10):1020-24 (1981)

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70	Qui et al., "Treatment of Tomato Seed with Harpin Enhances Germination and Growth and Induces Resistance to <i>Ralstonia solanacearum</i> ," <i>Phytopathology</i> 87: S80 (1997) (abstract only)
71	Smith et al., "Potential for Biological Control of Phytophthora Root and Crown Rots of Apple by <i>Trichoderma</i> and <i>Gliocladium</i> spp.," <i>Phytopathology</i> 80: 880-885 (1991)
72	Wei et al., "Induced Systemic Resistance to Cucumber Diseases and Increased Plant Growth by Plant Growth-Promoting Rhizobacteria Under Field Conditions," <i>Phytopathology</i> 86:221-224 (1996).
73	Ahmad et al., "Rhizosphere Competence of <i>Trichoderma harzianum</i> ," <i>Phytopathology</i> , 77:182-189 (1987)
74	Stasz et al., "Protoplast Preparation and Fusion in Two Biocontrol Strains of <i>Trichoderma Harzianum</i> ," <i>Mycologia</i> , 80:141-150 (1988)
75	Wei et al., "Induced Systemic Resistance by Select Plant Growth-Promoting Rhizobacteria Against Bacterial Wilt of Cucumber and the Beetle Vectors," <i>Phytopathology</i> , 86:1154, Abstract No. 313 (1995)

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